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Preroughening transitions in a model for Si(001)- and Ge(001)-type crystal surfaces

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Abstract. The uniaxial structure of Si(001) and Ge(001) facets leads to nontrivial topological properties of steps and hence to interesting equilibrium phase transitions. The disordered flat phase and the preroughening transition can be stabilized without the need for step–step interactions. A model describing this is studied numerically by transfer matrix-type finite-size-scaling of interface free energies. Its phase diagram contains a flat, rough, and disordered flat phase, separated by roughening and preroughening transition lines. Our estimate for the location of the multicritical point where the preroughening line merges with the roughening line, predicts that Si(001) and Ge(001) undergo preroughening-induced simultaneous deconstruction transitions.

1. Introduction

The structure of the (001) facets of Si and Ge is very interesting from the point of view of equilibrium phase transitions. These surfaces have an uniaxial reconstruction [1], where the uniaxial direction switches by 90° at alternating surface heights. Due to this, the monoatomic and bi-atomic steps have nontrivial topological properties. This atomic structure and the crossover from mono-atomic steps in nonvicinal surfaces to bi-atomic steps in vicinal surfaces have been studied extensively [2–5]. Roughening-type phase transitions in these surfaces close to the melting temperature, are another interesting topic [6, 7]. It was suggested earlier by den Nijs [7] that this unusual topology leads to disordered flat (DOF) phases and preroughening (PR) transitions without a need for step–step interactions. In this paper we present a detailed numerical transfer matrix finite-size-scaling (FSS) study of the model introduced in [7].

Consider a surface such as Si(001), but one which does not reconstruct. Such a surface is still uniaxial and still switches by 90° at alternating surface heights. At finite temperature T, thermodynamically excited steps appear. They separate domains of flat regions. The uniaxial structure leads to two distinct types of mono-atomic steps, labelled by S_A and S_B . The subscripts denote whether the uniaxial direction in the upper terrace near the step is parallel (A) or normal (B) to the step edge. Considering the fact that the uniaxial direction switches by 90° at alternating surface heights, one finds, as shown in figure 1, that the steps have the following topological properties [7]: (i) if two neighbouring parallel steps are of the same type, one must be an up step and the other a down step, (ii) if a step turns over 90° it must change its type, from S_A to S_B and vice versa. Bi-atomic steps exist as well [5], but they are probably unfavourable due to large free-energy cost close to the roughening temperature [7].

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7375



Figure 1. Topology of S_{A} - and S_{B} -type step excitations on an unreconstructed Si(001) type surface.

These topological properties imply that terrace excitations have an ellipsoid shape, and that the long axes of nested terraces are parallel (perpendicular) if the height change is up-down or down-up (up-up or down-down) across the nested terraces. This creates an entropic penalty against forming hills and valleys. In other words, it opens the possibility to stabilize a DOF phase without the need for step-step interactions [7]. In the DOF phase the surface contains a disordered array of steps. But it remains flat on average because the steps have long-range up-down-up-down order. Thus far step-step interactions were believed to be crucial for the existence of DOF phases. In this surface topology, however, the DOF phase originates directly from the uniaxial structure of the surface.

The restricted solid-on-solid (RSOS) model on a square lattice with the Hamiltonian

$$\mathcal{H} = \sum_{r} \left\{ K(h(x+1, y) - h(x, y))^{2} + \Delta \sin\left[\frac{\pi}{2}(h(x, y) + h(x+1, y))\right] \right\} + \sum_{r} \left\{ K(h(x, y+1) - h(x, y))^{2} - \Delta \sin\left[\frac{\pi}{2}(h(x, y) + h(x, y+1))\right] \right\}$$
(1)

was introduced in [7] to describe the thermodynamic properties of such steps in more detail. h(r) is an integer-valued height variable at each site r = (x, y). Height differences between the nearest-neighbour sites are restricted to 0 and ± 1 . This means that only monoatomic steps are allowed. Bi-atomic steps can be included in a later stage if experimental evidence shows they remain important close to roughening temperatures. The model of equation (1) contains two parameters. The Δ terms distinguish between S_{A} - and S_{B} -type steps: $E_{\text{A}} = K - \Delta$ and $E_{\text{B}} = K + \Delta$ are the step energies. Without loss of generality, the uniaxial direction is taken to run vertically (horizontally) at even (odd) heights.

The model Hamiltonian contains two limiting cases. The conventional RSOS model at $\Delta = 0$ displays a Kosterlitz–Thouless (KT)-type roughening transition between the flat and rough phases [8]. On the other hand, in the limit where $E_A = 0$ and T = 0, S_A steps cost no energy while S_B steps are frozen out. In a typical configuration the surface contains a set of randomly placed parallel S_A steps in the form of straight lines. However, the topological rule (i) requires that they are alternating up and down steps. This is a typical morphology of surfaces in the DOF phase [9]. The DOF phase is an intermediate phase between the flat and rough phases, where the steps are disordered positionally but have long-range up–down–up–down order. It was argued in [7] that this DOF-type structure is stable at finite temperatures, in terms of a fermionic-type perturbation theory.

In this paper, we investigate the phase diagram quantitatively through a detailed transfer matrix FSS study. It is important to confirm the existence of the DOF phase numerically. The analysis in [7] was mostly qualitative. The other purpose of this work is to obtain



Figure 2. The phase diagram of the model defined in equation (1). The roughening transition curves are obtained from $S_{\text{H}1} = \frac{\pi}{2}$ for N = 6 (\Box), 8 (\bigcirc), and 10 (\triangle). Preroughening transition curves are obtained from the crossing points of x(N) and x(N-2) for N = 6 (\Box), 8 (\bigcirc), 10 (\triangle) and 12 (\bigtriangledown). The transition points (E_A, E_B) are calculated only in the region $E_B \ge E_A$, and they are represented also in the region $E_B < E_A$ using (E_B, E_A) because the model is symmetric upon the change of E_A and E_B . The curves between the data points are guides to the eyes.

a good estimate for the critical value of the ratio $r \equiv E_{\rm B}/E_{\rm A}$, below which the DOF phase disappears, see Fig. 2. In real surfaces this ratio takes specific values. For example, observations of step fluctuations in STM and LEED experiments yield for Si(001) that $r \sim 3$ ($E_{\rm A} = 325K$ and $E_{\rm B} = 1045K$) [10]. By comparing this ratio with the critical value $r_{\rm c}$, one can decide which path the Si(001) surface follows.

Our model, equation (1), does not incorporate the 2×1 -type dimerized surface reconstruction of Si and Ge(001). Therefore it does not describe the competition between surface reconstruction and surface roughening in those surfaces. This issue was addressed in [7]. The preroughening line in figure 2 is most likely replaced by a PR-induced simultaneous deconstruction transition and the roughening line segment at $r < r_c$ by a roughening-induced simultaneous deconstruction transition. A proper quantitative description of this requires at least a RSOS model coupled to an Ising model, similar to the one that describes Au(110) facets [11, 12]. We did not study such a model, since the number of degrees of freedom probably becomes too large to obtain meaningful transfer-matrix FSS results. The precise location of r_c in figure 2 is the result of a delicate entropy balancing act of nested terraces associated with the peculiar 90° switching in the uniaxial direction. Our value of r_c should be meaningful for Si(001) and Ge(001) if the coupling with the Ising degrees of freedom does not change the value of r_c by too much, which is a reasonable assumption.

In section 2, we introduce various kinds of interface free energies. They decompose into the free energies of S_A - and S_B -type steps, and show distinct FSS behaviours in the flat, DOF, and rough phases. We obtain the phase diagram figure 2, by evaluating these interface free energies using the transfer-matrix method. The numerical results and a summary are presented in section 3.

2. Interfaces and the transfer matrix formalism

Consider the model given by equation (1) on a finite $N \times M$ lattice with periodic boundary conditions (PBCs), h(x + N, y) = h(x, y) and h(x, y + M) = h(x, y). The ordered flat phase is commensurate with PBCs. Other (gauge invariant) boundary conditions (BCs) create frustrations, and thus impose steps in the surface. The interface free energy η is defined as the excess free energy per unit length for each type of BC compared with that of PBCs. Their FSS behaviours are different in the various phases. We obtain the structure of the phase transitions by studying suitable ones.

The Hamiltonian in equation (1) is invariant under the global transformations

$$h(\mathbf{r}) \to h(\mathbf{r}) + 2n \tag{2}$$

$$h(r) \to -h(r) + 1 \pmod{2} \tag{3}$$

for all integers *n*. So it is natural to consider the following boundary conditions: step-type BCs with h(x + N, y) = h(x, y) + 2 and h(x, y + M) = h(x, y); and antiperiodic-type BCs with $h(x + N, y) = -h(x, y) + 1 \pmod{2}$ and h(x, y + M) = h(x, y). We will refer to them as H1 and H2 respectively. Similarly, V1 and V2 refer to the same BCs but with the roles of the *M* and *N* interchanged. The interface free energies are defined by

$$\eta_{\alpha} = -\frac{1}{M} \ln \frac{Z_{\alpha}}{Z_{PBC}} \qquad (\alpha = \text{H1}, \text{H2})$$
$$\eta_{\beta} = -\frac{1}{N} \ln \frac{Z_{\beta}}{Z_{PBC}} \qquad (\beta = \text{V1}, \text{V2})$$

with Z_{α} the partition function satisfying the boundary condition α , and all energies and free energies measured in units of $k_{\rm B}T$.

Figure 3 shows the topological frustrations induced by these BCs. H1 and V1 require at least two parallel steps; one is an S_A -type step and the other an S_B -type step (see figures 3(*a*) and (*c*)). Therefore, η_{H1} and η_{V1} decompose into $\eta_A + \eta_B$; with η_A and η_B the S_A - and S_B -step free energies. On the other hand, H2 and V2 can be satisfied by configurations with only one S_A -type step (see figures 3(*b*) and (*d*)). Therefore, η_{H2} and η_{V2} are equal into η_A .

These interface free energies must behave in a specific way in each type of phase. The step free energy η_B is finite in the flat phase and also in the DOF phase, but vanishes in the



Figure 3. Step excitation-type frustrations induced by (a) H1, (b) H2, (c) V1 and (d) V2 boundary conditions. The uniaxial direction in each domain of flat region is shown to help identify the steps.



Figure 4. The transfer matrix set-up. The rows of a square lattice are rotated by 45° . The aspect ratio ζ between the lattice constants in the horizontal and vertical directions is equal to 2. Height and step variables are defined on the faces and bonds of the lattice, respectively.

rough phase. The step free energy η_A , is finite in the flat phase, but vanishes in both the DOF and rough phase. Therefore, in the flat phase, all four η_{α} ($\alpha = H1$, H2, V1, V2) are finite. In the DOF phase, η_{H1} and η_{V1} remain finite, but η_{H2} and η_{V2} vanish (exponentially with system size). In the rough phase, all four η_{α} vanish (as a power law in the infinite *M* and/or *N* limit).

The rough phase is a critical phase where its critical fluctuations are described by the Gaussian model. The height-difference correlation function diverges logarithmically with distance:

$$\langle (h(\mathbf{r}) - h(\mathbf{r}'))^2 \rangle \simeq \frac{1}{\pi K_g} \ln |\mathbf{r} - \mathbf{r}'|$$

where K_g is the coupling constant of the Gaussian model (also called the stiffness constant). It varies continuously in the rough phase and takes the universal value $\frac{\pi}{2}$ at roughening transitions. The interface free energies vanish in the rough phase as power laws. In a semi-infinite geometry $M \to \infty$, $\eta_{\rm H1}$ and $\eta_{\rm H2}$ scale asymptotically as [9]

$$\eta_{\rm H1} = \frac{2\zeta K_g}{N} \tag{4}$$

$$\eta_{\rm H2} = \frac{\pi \zeta}{4N} \tag{5}$$

where ζ is the aspect ratio of the lattice constants in the spatial direction and the time-like direction (i.e. the direction in which the transfer matrix adds one row of lattice sites each time).

We evaluate the interface free energies through the transfer matrix. Consider the transfer matrix for a square lattice rotated by 45° as shown in figure 4. In our units the aspect ratio is equal to $\zeta = 2$; one unit in time, a_{τ} is twice as big as the spatial unit a_x . A height configuration (h_0, h_1, \ldots, h_N) in a row is represented by a state vector $|h_0, h_1, \ldots, h_N\rangle$. It is convenient to replace the height variables by step variables $s_i \equiv h_i - h_{i-1}$, with $i = 1, \ldots, N$. They take only the values 0, and ± 1 due to the restricted solid-on-solid condition. The surface configuration in each row is therefore represented by $|h_0, \mathbf{s}\rangle$ where \mathbf{s} stands for (s_1, s_2, \ldots, s_N) . The elements of the transfer matrix \mathbf{T} are the Boltzmann weights associated with height configurations $|h_0, \mathbf{s}\rangle$ and $|h'_0, \mathbf{t}\rangle$ in successive rows. \mathbf{T} is sparse, and can be expressed in terms of a product over local vertex-type scattering matrices, acting on the $|h_0, \mathbf{s}\rangle$ and $|h'_0, \mathbf{t}\rangle$ in successive rows and intermediate internal step variables \mathbf{u} , defined in figure 4.

In the case of PBCs the step variables satisfy the conditions $s_{i+N} = s_i$ and $\sum_{i=1}^{N} s_i = 0$ in all rows. The partition function, $Z_{PBC} = \text{Tr } \mathbf{T}_{PBC}^M$, and the free energy, are obtained from the largest eigenvalue $e^{-E_{PBC}}$ of \mathbf{T}_{PBC} in the $M \to \infty$ limit.

7380 J D Noh and M den Nijs

The transfer matrices \mathbf{T}_{H1} and \mathbf{T}_{H2} for the horizontal BCs H1 and H2 are easily defined. Only the conditions that the step variables must satisfy change. In the case of H1, the step variables are again periodic, $s_{i+N} = s_i$, but with $\sum_{i=1}^{N} s_i = 2$ in all rows. In the case of H2, the step variables are antiperiodic, $s_{i+N} = -s_i$, with no restriction in the value of $\sum_{i=1}^{N} s_i$. The partition function in each case is given by $Z_{\alpha} = \text{Tr}\mathbf{T}_{\alpha}^{M}$ and the free energy, in the $M \to \infty$ limit, is again obtained from the largest eigenvalue $e^{-E_{\alpha}}$ of \mathbf{T}_{α} ($\alpha = \text{H1}$ and H2). So the interface free energies are given by

$$\eta_{\rm H1} = E_{\rm H1} - E_{\rm PBC} \tag{6}$$

$$\eta_{\rm H2} = E_{\rm H2} - E_{\rm PBC}.$$
 (7)

The transfer matrices for the two vertical BCs are more intricate. They involve the symmetry properties equation (2) and equation (3) of the transfer matrix with PBCs. The translation invariance in the surface heights, equation (2), implies that \mathbf{T}_{PBC} commutes with the symmetry operator

$$\mathbb{P}|h,s\rangle = |h+2,s\rangle. \tag{8}$$

Therefore, it is useful to distinguish between two classes of surface states, $\{|e, s\rangle\}$ and $\{|o, s\rangle\}$, i.e. all states with *h* even and odd, respectively. From the parity-type symmetry property equation (3) it follows that **T**_{PBC} commutes also with the operator \mathbb{R} , defined by

$$\mathbb{R} | \mathbf{e}, s \rangle = | \mathbf{o}, -s \rangle$$

 $\mathbb{R} | \mathbf{o}, s \rangle = | \mathbf{e}, -s \rangle$

where -s stands for $(-s_1, -s_2, ..., -s_N)$. The transfer matrices for the vertical BCs can be expressed in term of \mathbf{T}_{PBC} , \mathbb{P} , and \mathbb{R} as $Z_{V1} = \text{Tr} [\mathbf{T}_{PBC}^M \mathbb{P}]$ and $Z_{V2} = \text{Tr} [\mathbf{T}_{PBC}^M \mathbb{R}]$.

To evaluate Z_{V1} one needs to keep track of the height in the first column modulo 4. This makes this boundary condition less useful than its horizontal counter part H1, where we do not need to keep track of the absolute height of the surface, and therefore can drop the h_0 label of the surface configurations altogether. So we discard Z_{V1} in the following analysis.

On the other hand, Z_{V2} is very useful. It can be written as

$$Z_{V2} = \sum_{i} e^{-ME_{PBC}(i)} - \sum_{i} e^{-ME'_{PBC}(i)} |$$
(9)

where $e^{-E_{PBC}(i)}$ ($e^{-E'_{PBC}(i)}$) is the *i*th largest eigenvalue of \mathbf{T}_{PBC} in the R = +1(-1) sector. By the R = +1(-1) sector, we mean the set of state vectors which are eigenvectors of \mathbb{R} with the eigenvalue +1(-1). Unlike horizontal boundary conditions, η_{V2} depends on the entire eigenvalue spectra. However, in the thermodynamic limit, it can be approximated, up to the leading order, as

$$\eta_{\mathrm{V2}} \simeq -rac{1}{N} \ln rac{\mathrm{e}^{-ME_{\mathrm{PBC}}} - \mathrm{e}^{-ME'_{\mathrm{PBC}}}}{\mathrm{e}^{-ME_{\mathrm{PBC}}} + \mathrm{e}^{-ME'_{\mathrm{PBC}}}}$$

where E_{PBC} (E'_{PBC}) is the largest eigenvalue of \mathbf{T}_{PBC} in the R = +1 (-1) sector. So the scaling behaviour of η_{V2} is determined from the quantity

$$m = E'_{\rm PBC} - E_{\rm PBC} \tag{10}$$

i.e. the mass gap between the two *R*-sectors. From the fact that η_{V2} is finite in the flat phase and vanishes in the DOF and rough phases, it follows that this mass gap should be finite in the DOF phase and vanish in the flat phase. We will use both V2 and H2 to locate the preroughening phase boundary.



Figure 5. Typical data of the FSS amplitude of the interface free energies (*a*) η_{H1} , (*b*) η_{H2} and (*c*) the mass gap *m*. Different symbols (\Box , N = 6; \bigcirc , N = 8; \triangle , N = 10; \bigtriangledown , N = 12) are used to distinguish the strip widths *N*. The curves are guides to the eyes.

3. Numerical results and summary

The largest eigenvalues in each sector of the transfer matrix are obtained using the conventional iteration method. An arbitrary initial vector projects onto the largest eigenvector by applying the transfer matrix repeatedly. E_{PBC} , E_{H1} , and E_{H2} are easily found by this method. E'_{PBC} is obtained by choosing the initial vector in the R = -1 sector. The state vector is (2×3^N) -dimensional for a semi-infinite strip of width N. The maximum strip width we can handle is N = 12.

First, we focus on particular paths through the parameter space to illustrate the existence of the rough, DOF, and the flat phases. The FSS amplitude

$$S_{\rm H1}(N) \equiv \frac{\eta_{\rm H1}N}{2\zeta} \tag{11}$$

of the H1 type interface must converge to K_g in the rough phase (see equation (4)). Numerical data of $S_{\text{H1}}(N)$ along the curve $E_A = 0.1$ are presented in figure 5(*a*). It shows that η_{H1} scales as $\frac{1}{N}$ with continuously varying amplitudes at small E_B (high temperatures). The $\frac{1}{N}$ scaling breaks down at large E_B . This means that η_A or η_B becomes nonzero. The roughening transition should take place when $S_{\text{H1}}(N)$ reaches the universal Kosterlitz–Thouless value $\frac{\pi}{2}$. This value is marked in figure 5(*a*) by a broken curve, and indeed it crosses the numerical curve in the crossover region. So the numerical data in figure 5(*a*) support that there is the rough phase at high temperatures, separated from the DOF or flat phase through a KT roughening transition.

We present also the FSS amplitudes of the interface free energy η_{H2} and the mass gap m, defined by

$$S_{\rm H2}(N) \equiv \frac{\eta_{\rm H2}N}{\zeta} \tag{12}$$

$$x(N) \equiv \frac{mN}{\zeta} \tag{13}$$

along the curve $E_{\rm B} = 3.0$ in figures 5(*b*) and (*c*). Both quantities show crossing points. They signal the crossover between two regions. One where η_A , the $S_{\rm A}$ -step free energy, vanishes (at small $E_{\rm B}$) and one where it is finite (at large $E_{\rm B}$). This confirms the existence of the DOF phase and the PR transition, since these free energy gaps must be finite in the flat phase but converge exponentially to zero in the DOF phase. For some reason, the convergence for V2 is dramatically better than for H2.

At KT-type roughening transitions, the stiffness constant takes the universal value $\frac{\pi}{2}$. So we obtain a sequence of estimates of the roughening transition curve by applying the condition $S_{\text{H1}}(N) = \frac{\pi}{2}$ for each *N*. These are the roughening data points shown in figure 2. A sequence of estimates for the PR transition line between the flat and DOF phase can be obtained from the crossing points of x(N) and x(N - 2), and also those of $S_{\text{H2}}(N)$ and $S_{\text{H2}}(N - 2)$. In figure 2 we show only the crossing points of V2 for N = 6, 8, 10 and 12. (Those of H2 are much less convergent, see figure 5(*b*) and (*c*)).

The scaling theory of PR transitions, tells us that the critical fluctuations are described by the Gaussian model, but with K_g greater than the universal KT value $\frac{\pi}{2}$ of the roughening transition [13, 11]. We investigate this scaling behaviour by studying the FSS amplitudes of η_{H2} and *m*. In the Gaussian model, $S_{\text{H2}}(N)$, does not vary continuously, instead it takes the universal value $\pi/4$ (see equation (5)). On the other hand, the V2-type mass gap should scale as [14]

$$m = \frac{\pi^2 \zeta}{2K_e N}.\tag{14}$$

This is related to the fact that at $\Delta = 0$, the R = -1 and R = +1 sectors of \mathbf{T}_{PBC} are equivalent apart from a phase factor $e^{i\pi s_1}$ attached to all step variables s_1 at the seam (the first column of the lattice).

In figure 6(*a*) we present the FSS amplitude of the mass gap *m*. The vertical axis is scaled as $\pi^2 \zeta/(2mN)$, such that it represents K_g , see equation (14). K_g starts off close to the universal value $\frac{\pi}{2}$ in the neighbourhood of the roughening transition, at $E_B \simeq 0.8$, and increases with E_B . This is in accordance with the assertion that the PR transition is described by the Gaussian model with continuously varying K_g greater than $\frac{\pi}{2}$. The FSS behaviour of S_{H2} along the PR line is shown in figure 6(*b*). The broken curve denotes the universal value $\frac{\pi}{4}$ of the Gaussian model. The data at large E_B remain far from the



Figure 6. FSS amplitudes of (*a*) *m* and (*b*) η_{H2} along the preroughening transition line. Different symbols (\Box , *N* = 6; \bigcirc , *N* = 8; \triangle , *N* = 10; \bigtriangledown , *N* = 12) are used to distinguish the strip widths *N*.



Figure 7. Estimates $(E_{A_c}(N), E_{B_c}(N))$ for the location of the multicritical point for N = 6, 8, 10, and 12. The extrapolated values are marked by arrows.

universal value, though approach it. Like before, the convergence of this quantity is poor (see also figure 5(b)).

The PR transition line in figure 2 seems to penetrate into the rough phase. But this does not mean that there is another transition inside the rough phase. The rough phase is a critical phase where the mass gap scales as O(1/N) on either side of the crossing points. The presence of crossing points of V2 inside the rough phase represents only a turn around in the corrections to scaling amplitudes for the amplitude.

The crossing of the two sets of curves in figure 2, the estimates for the roughening and PR lines, confirms the existence of a multicritical point (E_{A_c}, E_{B_c}) where the PR and roughening transitions merge. A sequence of estimates $(E_{A_c}(N), E_{B_c}(N))$ for the multicritical point is obtained by solving the two conditions $S_{H1}(N) = \frac{\pi}{2}$ and x(N) = x(N-2) simultaneously for each value of N = 6, 8, 10, and 12. These estimates are shown in figure 7. The arrows point towards power-law extrapolated values:

$$E_{A_c} = 0.41 \pm 0.03 \tag{15}$$

$$E_{B_c} = 0.89 \pm 0.01. \tag{16}$$

In summary, we have investigated the phase transitions in a model system for Si(001) or Ge(001)-type crystal surfaces with an uniaxial structure that switches direction at each mono-atomic step. We obtained the phase diagram from a numerical FSS study of the transfer matrix spectra. It consists of flat, rough, and DOF phases. The unusual topological properties of the surface stabilize the DOF phase in the absence of step–step interactions, which are crucial for the stabilization of the DOF phase in conventional surfaces. The location of the multicritical point where the PR transition curve merges with the roughening transition curve is determined numerically, $r_c \simeq 2.2$.

Specific crystals follow paths through figure 2 resembling straight lines as a function of temperature, since the step energies are approximately constant. Our results shows that if the ratio $r = E_{\rm B}/E_{\rm A}$ is greater than a critical value $r_{\rm c} \simeq 2.2$, the flat unreconstructed crystal undergoes a PR transition into the DOF phase followed by a roughening transition. In Si(001) surfaces, the ratio between the step energies is larger, $r \simeq 3$ [10]. We suggest therefore that Si(001) undergoes PR-induced simultaneous deconstruction transition [7].

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